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Jc960 U.S. PTO

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12-04-01

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	M-10187-44C US
	First Named Inventor or Application Identifier	Toshiaki Kiriata
	Title	Flash EEprom System
	Express Mail Label No.	EL 873331478 US

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<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents</small>	<b>ADDRESS TO:</b> Commissioner for Patents Box Patent Application Washington, D.C. 20231																									
1. <input checked="" type="checkbox"/> <b>Fee Transmittal Form - see page 2 of this form.</b> <small>(Submit an original, and a duplicate for fee processing)</small> 2. <b>Application:</b> <input checked="" type="checkbox"/> <b>Specification:</b> (preferred arrangement set forth below) Descriptive title of the invention, Cross References to Related Applications, Reference to Microfiche Appendix, Background of the invention, Brief Summary of the invention, Brief Description of the Drawings, and Detailed Description (all totaling 32 pages) Appendix(ces) ___, ___, & ___ (___ pages) <input checked="" type="checkbox"/> <b>Claim(s)</b> 17 pages <input checked="" type="checkbox"/> <b>Abstract of the Disclosure</b> 1 page 3. <input checked="" type="checkbox"/> <b>Drawing(s)</b> (35 USC 113) [Total Sheets ___] 4. <b>Oath or Declaration</b> <input type="checkbox"/> unsigned [Total Pages ___] a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from prior application (37 CFR §1.63(d)) <small>(for continuation/divisional with Box 17 completed)</small> c. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</small> 5. <input checked="" type="checkbox"/> <b>Incorporation By Reference</b> (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.																										
6. <input type="checkbox"/> <b>Microfiche Computer Program Appendix</b> consisting of ___ pages of microfiche containing ___ frames on each page in accompanying envelope. 7. <b>Nucleotide and/or Amino Acid Sequence Submission</b> <small>(if applicable, all necessary)</small> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies																										
<b>ACCOMPANYING APPLICATION PARTS</b> 8. <input type="checkbox"/> <b>Assignment Papers</b> (cover sheet & documents) ___ pages 9. <input type="checkbox"/> <b>37 CFR §3.73(b) Statement</b> <input checked="" type="checkbox"/> <b>Power of Attorney</b> <small>(combined when there is an Assignee with Patent Declaration above.)</small> 10. <input type="checkbox"/> <b>English Translation Document</b> (if applicable) 11. <input type="checkbox"/> <b>Information Disclosure Statement (IDS) &amp;</b> <input type="checkbox"/> <b>PTO-1449</b> <input type="checkbox"/> <b>Copies of IDS Citations/References</b> 12. <input checked="" type="checkbox"/> <b>Preliminary Amendment</b> 11 pages 13. <input checked="" type="checkbox"/> <b>Return Receipt Postcard (MPEP 503)</b> <small>(should be specifically itemized)</small> 14. <b>Small Entity Status</b> <input type="checkbox"/> <b>Small Entity Statement Enclosed</b> ___ pages <input type="checkbox"/> <b>Statement filed in prior application; and status still proper and desired</b> <input type="checkbox"/> <b>Is no longer claimed.</b> 15. <input type="checkbox"/> <b>Certified Copy of Priority Document(s)</b> <small>(if foreign priority is claimed)</small> 16. <input checked="" type="checkbox"/> <b>Other:</b> <input type="checkbox"/> <b>Copy of Petition for Extension of Time</b> filed in parent appln.; <input checked="" type="checkbox"/> <b>Copy of Patent 6,141,267</b>																										
17. <b>If a CONTINUING APPLICATION</b> , check appropriate box and supply the requisite information and a preliminary amendment: <input checked="" type="checkbox"/> <b>Continuation</b> <input type="checkbox"/> <b>Divisional</b> of prior application No 09/ 280,385 Filed on <u>March 3, 1999</u> , entitled: <u>FLASH EEPROM SYSTEM</u> <b>PRIOR APPLICATION INFORMATION:</b> <u>Examiner G. Gossage</u> <u>Group Art Unit 2187</u>																										
<b>18. CORRESPONDENCE ADDRESS</b> <input type="checkbox"/> <b>Customer Number or Bar Code Label</b> or <input checked="" type="checkbox"/> <b>Correspondence address below</b>																										
<table border="1"> <tr> <td>Name</td> <td colspan="3">Michael G. Cleveland</td> <td>Reg. No. 46,030</td> </tr> <tr> <td>Attorneys for Applicant</td> <td colspan="4">Skjerven Morrill MacPherson LLP</td> </tr> <tr> <td>Address</td> <td colspan="4">25 Metro Drive, Suite 700</td> </tr> <tr> <td>City</td> <td>San Jose</td> <td>State</td> <td>CA</td> <td>Zip Code 95110</td> </tr> <tr> <td>Country:</td> <td>United States</td> <td>Telephone</td> <td>(408) 453-9200</td> <td>Fax (408) 453-7979</td> </tr> </table>		Name	Michael G. Cleveland			Reg. No. 46,030	Attorneys for Applicant	Skjerven Morrill MacPherson LLP				Address	25 Metro Drive, Suite 700				City	San Jose	State	CA	Zip Code 95110	Country:	United States	Telephone	(408) 453-9200	Fax (408) 453-7979
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### 19. Fee calculations.

CLAIMS (Number Filed)	(1) FOR	(2)		(3) NUMBER EXTRA		(4) RATE		(5) CALCULATIONS
35	TOTAL CLAIMS (37 CFR 1.16(c))	-20	=	15	x	\$18	=	\$ 270.00
7	INDEPENDENT CLAIMS (37 CFR 1.16(b))	-3	=	4	x	\$84	=	\$ 336.00
<input type="checkbox"/>	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.18(d))				+	\$280.00	=	
						BASIC FEE (37 CFR 1.16(a))		= \$ 740.00
						Total of above Calculations		= \$ 606.00
						Reduction by 50% for filing by small entity (Note 31 CFR 1.9, 1.27, 1.28).		=
						TOTAL		= \$ 1,346.00

20. **FEES:** The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. **19-2386**:

- a. ☒ Fees required under 37 CFR 1.16. (U.S. Application Filing Fees)
- b. ☒ Fees required under 37 CFR 1.17. (Conditional Extension of Time Fees)
- c. ☐ Fees required under 37 CFR 1.18. (Patent Issue Fees)

21. ☐ Other: \_\_\_\_\_

#### NOTE:

The prior application's correspondence address will carry over to this UPA UNLESS a new correspondence address is provided below.

### 22. NEW CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label

☐ New correspondence address below

NAME				
ADDRESS				
CITY	STATE	ZIP CODE		
COUNTRY	TELEPHONE	FAX		

### 23. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Skjerven Morrill MacPherson LLP	
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San Jose, CA 95110	
Tel. (408) 453-9200 Fax. (408) 453-7979	
Date:	October 30, 2001
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Signature	
Express Mail Label No.	EL 873331478 US